

## The Role of Plant-Derived Bioactive Compounds in Modulating Host–Microbe Interactions and Immune Responses

Humera Nazneen<sup>\*1</sup>, Jnapika K. H.<sup>2</sup>, Amit Thakur<sup>3</sup> and Supreet Kadakol<sup>4</sup>

<sup>1</sup>Department of Botany, Nizam College, Osmania University, Hyderabad, Telangana State, India

<sup>2</sup>ICAR-Indian Agricultural Research Institute, New Delhi, Delhi 110012, India

<sup>3</sup>Aadharshila Academy, Joginder Nagar, Himachal Pradesh 175015, India

<sup>4</sup>Department of Zoology, Sri Venkataramana Swamy College, Bantwal, Dakshina Kannada, Karnataka, 574211-India

Received 07 June 2023 | Revised 12 July 2023 | Accepted 08 August 2023 | Available Online September 04 2023

\*Corresponding Author: **Humera Nazneen** | Email Address: **Humera33@gmail.com**

**Citation:** Humera Nazneen, Jnapika K. H., Amit Thakur and Supreet Kadakol (2023). The Role of Plant-Derived Bioactive Compounds in Modulating Host–Microbe Interactions and Immune Responses. *Plant Science Review*.

**DOI:** <https://doi.org/10.51470/PSR.2023.04.02.01>

### Abstract

Plant-derived bioactive compounds play a pivotal role in modulating host–microbe interactions and immune responses through their multifaceted biological activities, including antimicrobial, anti-inflammatory, antioxidant, and immunomodulatory effects. These phytochemicals—such as polyphenols, flavonoids, alkaloids, terpenoids, and saponins—not only directly inhibit the growth and virulence of pathogenic microorganisms but also enhance the growth of beneficial gut microbiota, thereby promoting a balanced microbial ecosystem. This modulation of the gut microbiome, in turn, influences systemic immune functions by regulating key signaling pathways, such as NF- $\kappa$ B, MAPK, and JAK/STAT, and by altering the production of cytokines, chemokines, and reactive oxygen species. Furthermore, plant-derived compounds can strengthen epithelial barrier integrity and stimulate the activity of innate and adaptive immune cells, including macrophages, dendritic cells, T cells, and B cells. By restoring microbial homeostasis and modulating immune responses, these natural agents hold significant therapeutic potential for preventing and managing a wide range of inflammatory, infectious, and autoimmune diseases, making them promising candidates for the development of novel nutraceuticals and functional foods.

**Keywords:** Phytochemicals, Gut microbiota, Immune modulation, Host–microbe interactions, Inflammation

### Introduction

The human body is home to trillions of microorganisms, collectively referred to as the microbiota, which reside primarily in the gastrointestinal tract. These microbes play essential roles in maintaining physiological homeostasis, including digestion, nutrient synthesis, immune system development, and protection against pathogens. Host–microbe interactions are highly dynamic and bidirectional, with both the host and microbial populations influencing each other's function and composition. Disruption in this delicate equilibrium, known as dysbiosis, has been linked to various diseases such as inflammatory bowel disease, metabolic disorders, autoimmune conditions, and even neurological disorders. Thus, maintaining a balanced and healthy microbiota is crucial for overall health and well-being. The immune system serves as the primary defense mechanism that regulates the relationship between the host and its microbiota [1-2]. It distinguishes between harmful pathogens and beneficial or commensal microbes, promoting tolerance to the latter while mounting responses

against the former. This immunological balance is mediated by various components, including innate immune cells like macrophages and dendritic cells, and adaptive immune cells such as T and B lymphocytes. These immune cells interact with microbial components through pattern recognition receptors (PRRs) and help maintain mucosal barrier integrity. Any imbalance in immune regulation may lead to chronic inflammation or increased susceptibility to infections, underscoring the need for effective modulators of immune function.

Diet is a major environmental factor influencing both the gut microbiota and the immune system. Among dietary components, plant-derived bioactive compounds—such as polyphenols, flavonoids, alkaloids, and terpenes—have gained attention for their potential to support health beyond basic nutrition. These compounds are found abundantly in fruits, vegetables, herbs, and other plant-based foods, and possess a wide range of biological activities. Their ability to interact with gut microbes and immune pathways suggests a promising role in modulating host–microbe interactions.

© 2023 by the authors. This is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original author(s) and source are credited. To view a copy of this license, visit <http://creativecommons.org/licenses/by/4.0/>.

Therefore, understanding how these natural agents influence gut and immune health can pave the way for innovative therapeutic strategies. Plant-derived compounds influence host–microbe interactions through several mechanisms. Some act directly by exhibiting antimicrobial activity against pathogens, while others serve as prebiotics, selectively stimulating the growth of beneficial bacteria such as *Lactobacillus* and *Bifidobacterium*. Additionally, these compounds can influence microbial metabolism, leading to the production of beneficial metabolites like short-chain fatty acids (SCFAs), which are known to exert immunomodulatory effects [3]. On the host side, many bioactive compounds can modulate key inflammatory pathways, including the nuclear factor kappa B (NF-κB) and mitogen-activated protein kinase (MAPK) pathways, thereby influencing cytokine

The modulation of the gut microbiota and immune responses by plant bioactive compounds has significant implications for disease prevention and treatment. Chronic inflammation and microbial imbalance are hallmarks of many modern diseases, such as type 2 diabetes, obesity, cardiovascular disorders, and autoimmune diseases. By restoring microbial balance and downregulating pro-inflammatory signaling, plant-derived compounds offer a natural, multi-targeted approach to health management. Furthermore, their generally low toxicity and ease of incorporation into the diet make them attractive alternatives or adjuncts to pharmaceutical interventions. Given the rising interest in natural therapeutics, this study aims to explore the multifaceted roles of plant-derived bioactive compounds in modulating host–microbe interactions and immune responses [4]. It will examine current evidence on the mechanisms through which

these compounds exert their effects, their impact on gut microbial composition and immune function, and their potential application in clinical and nutritional settings. By bridging insights from microbiology, immunology, and nutritional science, this review seeks to provide a comprehensive understanding of how plant-derived compounds can contribute to health promotion and disease management through the regulation of microbial and immune dynamics.

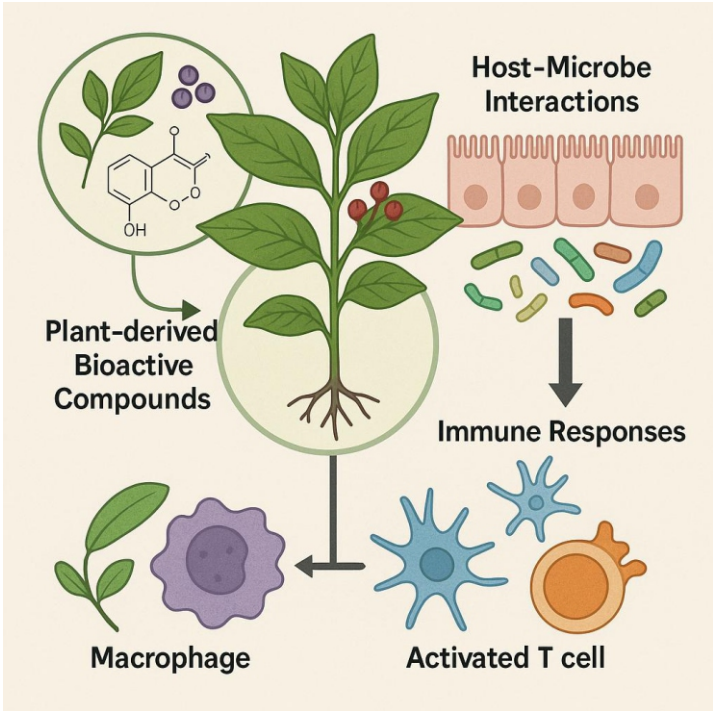


Fig 1: The Role of Plant-Derived Bioactive Compounds in Modulating Host–Microbe Interactions and Immune Responses

Table 1: Major Classes of Plant-Derived Bioactive Compounds and Their Sources

Compound Class	Examples	Plant Sources	Key Properties
Polyphenols	Resveratrol, Catechins	Grapes, Green tea, Berries	Antioxidant, Anti-inflammatory
Flavonoids	Quercetin, Apigenin	Onions, Citrus fruits, Parsley	Immunomodulatory, Antimicrobial
Terpenoids	Limonene, Carotenoids	Citrus peels, Carrots, Tomatoes	Antioxidant, Antiviral
Alkaloids	Berberine, Caffeine	Goldenseal, Tea, Coffee	Antimicrobial, Anti-inflammatory
Saponins	Ginsenosides, Dioscin	Ginseng, Soybeans, Legumes	Immune-stimulating, Anti-inflammatory

Table 2: Effects of Bioactive Compounds on Gut Microbiota

Compound	Action on Microbiota	Beneficial Effects
Polyphenols	Stimulate <i>Lactobacillus</i> , inhibit <i>Clostridium</i>	Enhances gut barrier, reduces dysbiosis
Flavonoids	Promote SCFA-producing bacteria	Improves metabolic health and immune response
Berberine	Suppresses pathogenic bacteria	Restores microbial balance
Inulin (prebiotic)	Feeds beneficial microbes	Increases <i>Bifidobacteria</i> , improves gut health
Saponins	Modulates microbial diversity	Reduces gut inflammation

Table 3: Immunological Pathways Modulated by Plant Bioactives

Compound	Target Pathway	Immune Effect	Clinical Relevance
Curcumin	NF-κB, MAPK	Suppresses pro-inflammatory cytokines	IBD, Rheumatoid arthritis
Quercetin	JAK/STAT, TLR pathways	Regulates T-cell activation	Allergy, Asthma
Resveratrol	SIRT1, NF-κB	Reduces oxidative stress and inflammation	Cardiovascular diseases
EGCG (Green tea)	IL-1β, IL-6, TNF-α	Inhibits inflammatory cytokines	Metabolic syndrome, Infections
Berberine	AMPK, NF-κB	Enhances innate immune responses	Diabetes, Gut infections

Table 4: Disease Conditions Affected by Plant Bioactives via Microbe–Immune Modulation

Disease	Disrupted Pathway	Plant Compound	Therapeutic Mechanism
Inflammatory Bowel Disease	Gut dysbiosis, NF-κB activation	Curcumin, Resveratrol	Reduces inflammation, restores microbial balance
Type 2 Diabetes	Low-grade inflammation, dysbiosis	Berberine, Quercetin	Regulates glucose, modulates gut microbiota
Obesity	Altered SCFA production	Flavonoids, Polyphenols	Improves metabolism, supports healthy microbiota
Allergies	Th2 immune dominance	Apigenin, Quercetin	Regulates immune polarization
Autoimmune Disorders	Overactive adaptive immunity	EGCG, Saponins	Suppresses autoimmunity, improves tolerance

Plant-derived bioactive compounds are naturally occurring chemical substances found in fruits, vegetables, herbs, and other plant parts. These compounds, which include polyphenols, flavonoids, alkaloids, and terpenoids, possess diverse biological activities that go beyond basic nutrition. They have been studied extensively for their antioxidant, anti-inflammatory, antimicrobial, and immunomodulatory properties. Due to their wide spectrum of bioactivity, they are increasingly recognized as potential agents for promoting health and preventing diseases related to oxidative stress, inflammation, and microbial imbalance [5]. These compounds interact with human biological systems in complex ways, modulating cellular signaling pathways and influencing metabolic processes. Their ability to modulate host–microbe interactions places them at the forefront of research exploring novel natural therapeutics that can influence gut health, immunity, and systemic inflammation. This subheading provides a foundational understanding of the chemical diversity and health relevance of plant bioactives.

2. The Human Microbiota and Its Role in Health

The human microbiota comprises trillions of microorganisms that colonize various body sites, predominantly the gut. This microbial community plays an essential role in digestion, synthesis of vitamins, immune system maturation, and protection against pathogens. The gut microbiota communicates bidirectionally with the host's immune system, influencing immune tolerance and inflammatory responses. Maintaining a balanced microbiota, often termed eubiosis, is vital for preventing diseases such as infections, autoimmune disorders, and metabolic syndromes. When this microbial balance is disturbed, a condition known as dysbiosis arises, often associated with increased intestinal permeability, systemic inflammation, and disease susceptibility [6]. Understanding the composition and function of the microbiota is critical to appreciating how external factors, such as diet and phytochemicals, can positively or negatively affect health outcomes through microbiome modulation.

3. Mechanisms of Host–Microbe Interactions

Host–microbe interactions are governed by intricate mechanisms that regulate microbial colonization, immune surveillance, and tissue homeostasis. The host immune system utilizes pattern recognition receptors (PRRs), such as Toll-like receptors (TLRs) and NOD-like receptors (NLRs), to detect microbial-associated molecular patterns (MAMPs). These interactions trigger signaling cascades that determine immune responses, balancing tolerance to commensals with

defense against pathogens [7]. Microbial metabolites, like short-chain fatty acids (SCFAs), also modulate immune responses by influencing epithelial barrier function and immune cell activity. The crosstalk between host and microbes is dynamic and can be modulated by environmental factors, including dietary compounds, which may enhance beneficial interactions or mitigate harmful immune activation.

4. Antimicrobial Activity of Plant Bioactive Compounds

Many plant bioactives exhibit direct antimicrobial effects by inhibiting the growth and virulence of pathogenic bacteria, fungi, and viruses. For example, alkaloids like berberine can disrupt microbial cell walls and interfere with DNA synthesis, while flavonoids can inhibit bacterial enzymes essential for replication [8]. This antimicrobial activity helps control infections and supports a healthy microbial balance by reducing pathogen colonization. These compounds also prevent biofilm formation, a key factor in chronic infections and antibiotic resistance. The selective antimicrobial effects of plant-derived compounds make them attractive candidates for developing alternatives to synthetic antibiotics, especially amid rising antimicrobial resistance.

5. Prebiotic Effects and Microbiota Modulation

Certain plant compounds act as prebiotics, selectively stimulating the growth of beneficial gut bacteria such as *Bifidobacterium* and *Lactobacillus*. Polyphenols and dietary fibers undergo microbial metabolism, producing bioactive metabolites like SCFAs, which improve gut barrier integrity and regulate immune responses. This enhancement of the microbial ecosystem supports digestion, nutrient absorption, and pathogen resistance. Prebiotic effects also contribute to metabolic health by influencing energy harvest and fat storage, highlighting the role of plant bioactives in preventing obesity and related disorders [9]. Through microbiota modulation, these compounds exert systemic effects on immune regulation and inflammation control.

6. Modulation of Immune Cell Functions by Plant Bioactives

Plant-derived compounds influence both innate and adaptive immune cells, including macrophages, dendritic cells, T cells, and B cells. They can stimulate macrophage phagocytic activity or suppress overactive inflammatory responses by downregulating pro-inflammatory cytokines like TNF-α and IL-6. Flavonoids such as quercetin regulate T cell differentiation, promoting a balanced immune response. Such immunomodulation helps maintain immune homeostasis, preventing excessive inflammation that



contributes to chronic diseases. These compounds may also enhance vaccine efficacy and improve resistance to infections by fine-tuning immune cell activation and proliferation [10].

### 7. Impact on Inflammatory Signaling Pathways

Plant bioactives modulate critical inflammatory signaling pathways such as NF- $\kappa$ B, MAPK, and JAK/STAT, which regulate the expression of cytokines, chemokines, and other immune mediators. For example, curcumin inhibits NF- $\kappa$ B activation, reducing the transcription of pro-inflammatory genes and oxidative stress markers. This leads to decreased inflammation and tissue damage [11]. By targeting these pathways, bioactive compounds exert protective effects in inflammatory diseases like arthritis, inflammatory bowel disease, and asthma. Their multi-targeted approach offers advantages over conventional drugs, which often act on single targets and carry higher risks of side effects.

### 8. Enhancement of Epithelial Barrier Integrity

The intestinal epithelial barrier serves as the first line of defense against microbial invasion and systemic inflammation. Plant bioactives promote the expression of tight junction proteins such as occludin and claudins, which maintain barrier integrity and prevent increased gut permeability ("leaky gut"). Polyphenols and flavonoids also reduce oxidative damage to epithelial cells [12]. Strengthening the epithelial barrier limits the translocation of harmful microbes and toxins into the bloodstream, thereby reducing chronic inflammatory states and preventing diseases linked to barrier dysfunction, including autoimmune and metabolic disorders.

### 9. Antioxidant Properties and Immune Protection

Oxidative stress contributes to immune dysregulation and tissue injury during infections and chronic diseases. Plant-derived antioxidants neutralize reactive oxygen species (ROS), protecting immune cells and tissues from oxidative damage. Compounds like resveratrol and EGCG enhance endogenous antioxidant enzymes such as superoxide dismutase and catalase. By reducing oxidative stress, these compounds preserve immune cell function and improve the resolution of inflammation [13]. This antioxidant activity also supports healthy aging and lowers the risk of oxidative stress-related diseases.

### 10. Influence on Gut-Brain-Immune Axis

The gut microbiota communicates bidirectionally with the brain through neural, endocrine, and immune pathways, collectively termed the gut-brain-immune axis. Plant bioactives, by modulating gut microbiota and immune responses, can influence brain health and behavior. For example, flavonoids have been shown to reduce neuroinflammation and improve cognitive function via microbiota-mediated pathways [14]. This emerging area highlights the potential of dietary phytochemicals in managing neurodegenerative diseases, depression, and

stress-related disorders by targeting gut microbiota and immune regulation.

### 11. Therapeutic Potential in Autoimmune Diseases

Autoimmune diseases arise from dysregulated immune responses against self-antigens, often linked to gut microbiota alterations and chronic inflammation. Plant bioactives can restore immune tolerance by modulating dendritic cell function, promoting regulatory T cells, and reducing pro-inflammatory cytokines [15]. For instance, EGCG from green tea suppresses autoimmune responses in multiple sclerosis models. By targeting both microbial composition and immune pathways, these compounds offer a natural adjunct or alternative to conventional immunosuppressive therapies, potentially reducing side effects and improving patient outcomes.

### 12. Role in Metabolic Disorders and Obesity

Chronic low-grade inflammation and gut dysbiosis contribute to insulin resistance, obesity, and type 2 diabetes. Plant bioactives influence metabolic health by modulating gut microbiota composition, enhancing SCFA production, and suppressing inflammatory pathways [15]. Berberine, for example, improves glucose metabolism and reduces systemic inflammation. These mechanisms underscore the potential of dietary phytochemicals in preventing and managing metabolic diseases through holistic modulation of microbiota-immune crosstalk.

### 13. Synergistic Effects of Phytochemical Combinations

In nature, plant bioactives exist as complex mixtures that often exert synergistic effects. Combining polyphenols with flavonoids or saponins can amplify their antimicrobial, antioxidant, and immunomodulatory actions. Such synergy can improve bioavailability and target multiple pathways simultaneously [16]. Understanding these interactions is crucial for developing effective nutraceuticals and functional foods that harness the full therapeutic potential of plant compounds.

### 14. Challenges and Limitations in Research

Despite promising findings, challenges remain in translating plant bioactive research into clinical practice. Variability in compound concentration, bioavailability, and individual microbiota profiles can affect efficacy. Additionally, complex host-microbe interactions are difficult to model accurately in vitro or in animal studies. Further research is needed to standardize preparations, elucidate mechanisms, and conduct well-designed clinical trials to validate the therapeutic benefits of plant-derived bioactives [16].

### 15. Future Perspectives and Applications

Advances in microbiome research and nutrigenomics pave the way for personalized nutrition strategies using plant bioactives to optimize immune and microbial health. Integration of these compounds into functional foods,

supplements, and therapeutics could revolutionize disease prevention and management [17]. Emerging technologies, such as nanoformulations and targeted delivery systems, may enhance the stability and bioavailability of phytochemicals, maximizing their clinical potential. Continued interdisciplinary research will be essential to fully harness the benefits of plant-derived bioactive compounds.

## Conclusion

The intricate interplay between the host immune system and the resident microbiota is fundamental to maintaining health and preventing disease. Plant-derived bioactive compounds have emerged as powerful natural modulators of this relationship, capable of influencing microbial composition, enhancing beneficial microbial metabolites, and regulating immune signaling pathways. Their multifaceted activities—including antimicrobial, anti-inflammatory, antioxidant, and immunomodulatory effects—allow them to restore and maintain microbial balance and immune homeostasis. This capacity positions them as promising agents in addressing disorders linked to microbial dysbiosis and immune dysfunction, ranging from gastrointestinal diseases to metabolic and autoimmune conditions. Moreover, the mechanistic insights into how these bioactive compounds interact with immune cells and molecular pathways highlight their potential as multi-targeted therapeutic agents. Unlike conventional pharmaceuticals that often focus on a single target, plant bioactives modulate complex networks involving the microbiota and host immunity, providing a holistic approach to disease management. Their ability to strengthen epithelial barriers, suppress excessive inflammation, and promote immune tolerance underlines their role not only in disease treatment but also in prevention and health maintenance. Additionally, their generally low toxicity and wide availability from dietary sources make them accessible and sustainable options for integrative health strategies. Despite the promising potential, challenges remain in translating these findings into clinical applications, including issues related to bioavailability, variability in individual responses, and standardization of plant extracts. Future research, particularly well-designed clinical trials and personalized approaches integrating microbiome profiling, will be essential to optimize the use of plant-derived bioactives. Advances in formulation technologies and delivery systems may further enhance their therapeutic efficacy. Ultimately, harnessing the power of these natural compounds offers a compelling avenue for novel, safe, and effective interventions aimed at modulating host–microbe interactions and immune responses to promote overall health and combat disease.

## REFERENCES

- Kumar, S., Pandey, A. K. (2013). Chemistry and biological activities of flavonoids: an overview. *The Scientific World Journal*, 2013, Article ID 162750.
- Manach, C., Scalbert, A., Morand, C., Rémésy, C., Jiménez, L. (2004). Polyphenols: food sources and bioavailability. *The American Journal of Clinical Nutrition*, 79(5), 727-747.
- Wang, L., et al. (2019). Dietary polyphenols and gut microbiota interactions: molecular mechanisms and disease implications. *Food & Function*, 10(7), 3801–3816.
- Cardona, F., Andrés-Lacueva, C., Tulipani, S., Tinahones, F. J., Queipo-Ortuño, M. I. (2013). Benefits of polyphenols on gut microbiota and implications in human health. *The Journal of Nutritional Biochemistry*, 24(8), 1415-1422.
- Scalbert, A., Johnson, I. T., Saltmarsh, M. (2005). Polyphenols: antioxidants and beyond. *The American Journal of Clinical Nutrition*, 81(1), 215S-217S.
- Azuma, K., et al. (2013). Anti-inflammatory effects of flavonoids in experimental inflammatory models: a review. *Pharmacological Reports*, 65(3), 737-743.
- Corthésy, B. (2013). Multi-faceted functions of secretory IgA at mucosal surfaces. *Frontiers in Immunology*, 4, 185.
- Friedman, M. (2015). Chemistry, nutrition, and health-promoting properties of citrus flavonoids. *Journal of Agricultural and Food Chemistry*, 63(41), 10197-10209.
- Panche, A. N., Diwan, A. D., Chandra, S. R. (2016). Flavonoids: an overview. *Journal of Nutritional Science*, 5, e47.
- Gurung, M., Li, Z., You, H., Rodrigues, R., Jump, D. B., Morgun, A., Shulzhenko, N. (2020). Role of gut microbiota in type 2 diabetes pathophysiology. *EBioMedicine*, 51, 102590.
- Espín, J. C., García-Conesa, M. T., Tomás-Barberán, F. A. (2007). Interaction of gut microbiota with dietary polyphenols and consequences to human health. *Current Opinion in Clinical Nutrition and Metabolic Care*, 10(6), 627-633.
- Singh, B. N., Singh, B. R., Singh, R. L., Prakash, D., Singh, D. P., Sarma, B. K., Singh, H. B. (2011). Oxidative DNA damage protective activity, antioxidant and anti-quorum sensing potentials of certain Indian medicinal plants. *Food Chemistry and Toxicology*, 49(7), 1908-1916.
- Tilg, H., Moschen, A. R. (2014). Microbiota and diabetes: an evolving relationship. *Gut*, 63(9), 1513-1521.

14. Dewanjee, S., Dua, T. K., Bhattacharjee, N., Chakraborty, P., Gangopadhyay, M., Dey, S., Maiti, T. K. (2015). Mechanistic insight into the role of natural products in the management of obesity: a review. *European Journal of Pharmacology*, 758, 85-98.
15. Rauf, A., Imran, M., Butt, M. S., Nadeem, M., Peters, D. G., Mubarak, M. S. (2018). Anticancer potential of quercetin: A comprehensive review. *Phytotherapy Research*, 32(11), 2109-2130.
16. Yan, F., Polk, D. B. (2010). Probiotic bacterium prevents cytokine-induced apoptosis in intestinal epithelial cells. *The Journal of Biological Chemistry*, 285(26), 20197-20205.